

# Privacy Notice - General Data Protection Regulation ("GDPR")

Please read the following information carefully. This privacy notice contains information about the information collected, stored and otherwise processed about you and the reasons for the processing. It also tells you who 3VB Services shares this information with, the security mechanisms 3VB Services has put in place to protect your information and how to contact 3VB Services in the event you need further information.

#### Who Am I?

3VB Services Limited ("3VB Services"), the service company of the barristers' chambers of 3 Verulam Buildings ("Chambers") collects, uses and is responsible for personal information about you. When 3VB Services does this it is the 'controller' of this information for the purposes of the GDPR and the Data Protection Act 2018.

If you need to contact 3VB Services about your information or the processing carried out you can use the contact details at the end of this document.

What does 3VB Services do with your information? Information collected

3VB Services collects some or all of the following personal information that you provide:

- a. personal details
- b. family details
- c. lifestyle and social circumstances
- d. goods and services
- e. financial details
- f. education, training and employment details
- g. physical or mental health details
- h. racial or ethnic origin
- i. political opinions
- j. religious, philosophical or other beliefs
- k. trade union membership
- I. sex life or sexual orientation
- m. genetic information
- n. biometric information for the purpose of uniquely identifying a natural person
- o. criminal proceedings, outcomes and sentences, or related security measures
- p. other personal information relevant to instructions to provide legal services, including information specific to the instructions in question.

#### Information collected from other sources

The same categories of information may also be obtained from third parties, such as members of Chambers, experts, members of the public, your family and friends, witnesses, courts and other tribunals, suppliers of goods and services, investigators, government departments, regulators, public records and registers.

## How 3VB Services uses your personal information: Purposes

3VB Services may use your personal information for the following purposes:

- i. to promote and market the services of the Barristers
- ii. to train barristers
- iii. to recruit staff and pupils
- iv. to assess applications for tenancy, pupillage, mini-pupillage and work-shadowing opportunities
- v. to fulfil equality and diversity and other regulatory requirements,
- vi. to procure goods and services,
- vii. to manage matters relating to employment, including payroll [and pensions]
- viii. to respond to requests for references
- ix. to publish legal judgments and decisions of courts and tribunals
- x. to respond to potential complaints or make complaints
- xi. to carry out anti-money laundering and terrorist financing checks
- xii. as otherwise required or permitted by law.

### Marketing and promotion

In relation to personal information collected for marketing purposes, the personal information consists of

- names, contact details, and name of organisation
- the nature of your interest in Chambers' marketing
- your attendance at Chambers events.

This will be processed so that you can be provided with information about Chambers and the Barristers/Mediators/Arbitrators and to invite you to events.

You may contact 3VB Services using the contact details at the end of this document if you no longer wish to receive such invitations or information.

### Whether information has to be provided by you, and why

If you apply to 3VB Services for a position or are seeking a reference or are a member of staff your personal information has to be provided to 3VB Services so that your application/reference can be properly assessed and/or your employment records, pay and pensions can be administered and to enable 3VB Services to comply with its regulatory obligations, and to keep accounting records. Separate Privacy Notices exist for these purposes and can be found at <a href="http://www.3vb.com/about-us/data-protection">http://www.3vb.com/about-us/data-protection</a>

If you are offering or providing 3VB Services with goods or services your information may be processed in relation to such offers or contracts.

### The legal basis for processing your personal information

3VB Services relies on the following as the lawful bases to collect and use your personal information:

- If you have consented to the processing of your personal information, then 3VB Services may process your information for the Purposes set out above to the extent to which you have consented to 3VB Services doing so.
- In relation to information in categories (g) to (o) above (these being categories which are considered to include particularly sensitive information and which include information about criminal convictions or proceedings), 3VB Services is entitled by law to process the information where the processing is necessary for legal proceedings, legal advice, or otherwise for the establishment, exercise or defence of legal rights.
- In relation to information which is not in categories (g) to (o) above, 3VB Services relies on its legitimate interests and/or the legitimate interests of a third party in carrying out the processing for the Purposes set out above.
- In relation to information which is in categories (g) to (o) above (these being categories which include particularly sensitive information and which include information about criminal convictions or proceedings), 3VB Services relies on your consent for any processing for the purposes set out in purposes (i), (ii), (vi) and (viii) above. However, if you do not consent to processing for the purpose of providing a reference 3VB Services will be unable to take or provide a reference. This is because 3VB Services needs to be able to retain all information about you to provide an informed and complete reference.
- The processing is necessary for the purposes of performing or exercising obligations or rights which are imposed or conferred by law on 3VB Services or you in connection with employment, social security or social protection.
- The processing is necessary for the assessment of your working capacity or health or social care purposes.
- The processing of information in categories (g), (h), (j) and (l), is necessary for the purposes of identifying or keeping under review the existence or absence of equality of opportunity or treatment between members of staff, tenants, pupils and mini-pupils with a view to enabling such equality to be promoted or maintained.
- The processing is necessary to prevent or detect unlawful acts where it is in the substantial public interest and it must be carried out without consent so as not to prejudice those purposes.
- In certain circumstances processing may be necessary in order that 3VB Services can comply with a legal obligation to which it is subject (including carrying out anti-money laundering or terrorist financing checks).

## Who will 3VB Services share your personal information with?

It may be necessary to share your information with the following:

- information processors, such as IT support staff, email providers, information storage providers
- in the event of complaints, the Head of Chambers and members of Chambers who deal with complaints, the Bar Standards Board and the Legal Ombudsman
- other regulatory authorities
- current, past or prospective employers or employees

- in the case of recruitment of barristers to or from other chambers, your current, past and prospective chambers
- education and examining bodies
- legal professionals
- experts and other witnesses
- prosecution authorities
- courts and tribunals
- Chambers' staff
- trainee barristers
- lay and professional clients of Members of Chambers
- family and associates of the person whose personal information 3VB Services is processing
- current, past or prospective employers
- education and examining bodies
- business associates, professional advisers and trade bodies, e.g. the Bar Council
- the intended recipient, where you have asked 3VB Services to provide a reference
- the general public in relation to the publication of legal judgments and decisions of courts and tribunals

3VB Services may be required to provide your information to regulators, such as the Bar Standards Board, the Financial Conduct Authority or the Information Commissioner's Office. In the case of the Information Commissioner's Office, there is a risk that your information may lawfully be disclosed by them for the purpose of any other civil or criminal proceedings, without 3VB Services' consent or your consent, which includes privileged information.

3VB Services may also be required to disclose your information to the police or intelligence services, where required or permitted by law.

#### Sources of information

The personal information 3VB Services obtains may include information obtained from:

- legal professionals
- experts and other witnesses
- prosecution authorities
- courts and tribunals
- trainee barristers
- lay and professional clients of members of Chambers
- family and associates of the person whose personal information 3VB Services is processing
- in the event of complaints, the Head of Chambers, other members of Chambers who deal with complaints, the Bar Standards Board, and the Legal Ombudsman
- other regulatory authorities
- current, past or prospective employers
- education and examining bodies
- business associates, professional advisers and trade bodies, e.g. the Bar Council
- the intended recipient, where you have asked 3VB Services to provide a reference.
- the general public in relation to the publication of legal judgments and decisions of courts and tribunals
- data processors, such as IT support staff, email providers, data storage providers
- public sources, such as the press, public registers and law reports.

### Transfer of your information outside the European Economic Area (EEA)

This privacy notice is of general application and as such it is not possible to state whether it will be necessary to transfer your information out of the EEA in any particular case or for a reference. However, if you reside outside the EEA or your case or the role for which you require a reference involves persons or organisations or courts and tribunals outside the EEA then it may be necessary to transfer some of your information to that country outside of the EEA for that purpose. If you are in a country outside the EEA or if the instructions you provide come from outside the EEA then it is inevitable that information will be transferred to those countries. If this applies to you and you wish additional precautions to be taken in respect of your information please indicate this when providing initial instructions.

Some countries and organisations outside the EEA have been assessed by the European Commission and their information protection laws and procedures found to show adequate protection. The list can be found <a href="https://example.com/here">here</a>. Most do not. If your information has to be transferred outside the EEA, then it may not have the same protections and you may not have the same rights as you would within the EEA.

3VB Services may transfer your personal information to the following which are located outside the European Economic Area (EEA):

- cloud information storage services based in the USA who have agreed to comply with the EU-U.S. Privacy Shield, in order to enable me to store your information and/or backup copies of your information so that 3VB Services may access your information when they need to. The USA does not have the same information protection laws as the EU but the EU-U.S. Privacy Shield has been recognised by the European Commission as providing adequate protection. To obtain further details of that protection see <a href="https://ec.europa.eu/info/law/law-topic/information-protection/information-transfers-outside-eu/eu-us-privacy-shield\_en.">https://ec.europa.eu/info/law/law-topic/information-protection/information-transfers-outside-eu/eu-us-privacy-shield\_en.</a>
- cloud information storage services based in Switzerland, in order to enable me to store your information and/or backup copies of your information so that 3VB Services may access your information when it needs to. Switzerland does not have the same information protection laws as the EU but has been recognised by the European Commission as providing adequate protection; see <a href="https://ec.europa.eu/info/law/law-topic/information-protection/information-transfers-outside-eu/adequacy-protection-personal-information-non-eu-countries">https://ec.europa.eu/info/law/law-topic/information-personal-information-non-eu-countries</a> en.

If 3VB Services decides to publish a judgment or other decision of a Court or Tribunal containing your information then may be published to the world.

3VB Services will not otherwise transfer personal information outside the EEA except as necessary for the conduct of any legal proceedings.

If you would like any further information please use the contact details at the end of this document.

## How long will 3VB Services store your personal information?

3VB Services will normally store all your information:

- until at least 1 year after the expiry of any relevant limitation period, from for example the date of the last provision of service or goods, the date of the last payment made or received or the date on which all outstanding payments are written off, whichever is the latest. This is because it may be needed for potential legal proceedings. At this point any further retention will be reviewed and the information will be marked for deletion or marked for retention for a further period. The latter retention period is likely to occur only where the information is needed for legal proceedings, regulatory matters or active complaints. Deletion will be carried out as soon as reasonably practicable after the information is marked for deletion.
- Names and contact details held for marketing purposes will be stored indefinitely or until 3VB Services becomes aware or is informed that the individual has ceased to be a potential client.

#### Consent

As explained above, 3VB Services is relying on your explicit consent to process your information in categories (g) to (o) above. You will have provided this consent when you applied to become a tenant, pupil or mini-pupil or you asked 3VB Services to provide a reference].

You have the right to withdraw this consent at any time, but this will not affect the lawfulness of any processing activity carried out prior to you withdrawing your consent. However, where 3VB Services also relies on other bases for processing your information, you may not be able to prevent processing of your information.

If there is an issue with the processing of your information, please contact 3VB Services using the contact details below.

### **Your Rights**

Under the GDPR, you have a number of rights that you can exercise in certain circumstances. These are free of charge. In summary, you may have the right to:

- Ask for access to your personal information and other supplementary information;
- Ask for correction of mistakes in your information or to complete missing information 3VB
   Services holds on you;
- Ask for your personal information to be erased, in certain circumstances;
- Receive a copy of the personal information you have provided to me or have this
  information sent to a third party. This will be provided to you or the third party in a
  structured, commonly used and machine-readable format, e.g. a Word file;
- Object at any time to processing of your personal information for direct marketing;
- Object in certain other situations to the continued processing of your personal information;
- Restrict the processing of your personal information in certain circumstances;
- [Request not to be the subject to automated decision-making which produces legal effects that concern you or affects you in a significant way].

If you want more information about your rights under the GDPR please see the Guidance from the Information Commissioners Office on <u>Individual's rights under the GDPR</u>.

If you want to exercise any of these rights, please:

- Use the contact details at the end of this document;
- 3VB Services may need to ask you to provide other information so that you can be identified;
- Please provide a contact address so that you can be contacted to request further information to verify your identity;
- Provide proof of your identity and address;
- State the right or rights that you wish to exercise.

3VB Services will respond to you within one month from when it receives your request.

## **Marketing Emails**

Please note if you wish to unsubscribe from any marketing emails that you have signed up for, you can do so by following this link - <u>unsubscribe</u>. It may take 3 working days for this to become effective.

### How to make a complaint?

The GDPR also gives you the right to lodge a complaint with the Information Commissioners' Office if you are in the UK, or with the supervisory authority of the Member State where you work, normally live or where the alleged infringement of information protection laws occurred. The Information Commissioner's Office can be contacted at <a href="http://ico.org.uk/concerns/">http://ico.org.uk/concerns/</a>.

### **Future Processing**

3VB Services does not intend to process your personal information except for the reasons stated within this privacy notice. If this changes, this privacy notice will be amended and placed on the Chambers' website at <a href="https://www.3vb.com">www.3vb.com</a>.

# Changes to this privacy notice

This privacy notice was published on 25 May 2018 and last updated on 25 May 2018.

3VB Services continually reviews its privacy practices and may change this policy from time to time. When it does an amended privacy notice will be placed on the Chambers' website.

#### **Contact Details**

If you have any questions about this privacy notice or the information 3VB Services holds about you, please contact 3VB Services using the contact details below.

The best way to contact 3VB Services is:

to email <a href="mailto:dataprotection@3vb.com">dataprotection@3vb.com</a>;

to phone the Chambers Director at +44 (0) 20 7831 8441;

to write to 3 Verulam Buildings, Gray's Inn, London, WC1R 5NT, England, Ref Data Protection.